

# Common Criteria Compliant Software Development (CC-CASD)

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## ABSTRACT

In order to gain their customers' trust, software vendors can certify their products according to security standards, e.g., the Common Criteria (ISO 15408). However, a Common Criteria certification requires a comprehensible documentation of the software product. The creation of this documentation results in high costs in terms of time and money.

We propose a software development process that supports the creation of the required documentation for a Common Criteria certification. Hence, we do not need to create the documentation after the software is built. Furthermore, we propose to use an enhanced version of the requirements-driven software engineering process called *ADIT* to discover possible problems with the establishment of Common Criteria documents. We aim to detect these issues before the certification process. Thus, we avoid expensive delays of the certification effort. *ADIT* provides a seamless development approach that allows consistency checks between different kinds of UML models. *ADIT* also supports traceability from security requirements to design documents. We illustrate our approach with the development of a smart metering gateway system.

## Categories and Subject Descriptors

D.2.0 [Software Engineering]: Standards; D.2.1 [Software Engineering]: Requirements/Specification; K.4.2 [Software Engineering]: Security

## Keywords

Common Criteria, Problem Frames, Security Standards, Document Generation, Model-driven Engineering, Security Requirements Engineering, *ADIT*

## 1. INTRODUCTION

Software vendors have to gain their customers' trust. One way of gaining it is with a security certification. The ISO 15408 Standard - Common Criteria for Information Technology Security Evaluation (short CC) - [14] provides a certification schema for software

systems. However, a CC certification demands a detailed documentation of the software system. The system is called *Target of Evaluation (ToE)* in the CC. A *ToE* has to be described in detail including its environment. In addition, the *attackers*<sup>1</sup>, *threats*, *assumptions*, *security objectives*, and *security functional requirements* of the *ToE* have to be elicited and reasoned in detail. The CC demands a description of the implementation of a *ToE*.

The standard provides a specific structure the documentation has to follow. For example, each specification of a *ToE* has to start with an introduction that has to contain a description of the *ToE*, its interfaces and the operational environment, e.g. the Operation System, the *ToE* works in. Furthermore, the CC follows a security model that requires a reasoning effort. For example, the creator of the document has to state why he/she thinks that the *security objectives* are complete and why all of them have implemented security solutions that address them.

We propose to use an extension of the *requirements-driven* software engineering process *ADIT* [7, 10]. Our contributions are twofold: a) We provide an extension of the UML-profile *UMLAPF* [7, 10] that introduces the terminology and the attributes of the CC. We also implement these extension into the *UMLAPF* support tool [8]. Hence, we extend *ADIT* with CC-specific model elements that can be used in the software engineering process. This provides the means to generate texts, figures or tables that can be re-used to create CC documentation. b) We re-use *ADIT*'s traceability and consistency checks between different models of the software engineering process. For example, *ADIT* provides the means to trace a software component to the requirement it fulfills. Moreover, *ADIT* provides checks that can analyze if all model elements, which are used in the architecture, refine a model element of the context description in the analysis phase. We improved this mechanism to support several CC elements. For example, we can check that *security objectives* can be traced back to the *attackers* and *threats* that caused them.

The rest of the paper is organised as follows. Section 2 presents background on *Problem Frames*, *ADIT*, and the CC. Section 3 presents our UML profile for the CC, and Sect. 4 explains which parts of the CC we support. Sect. 5 shows the application of our approach to a smart grid scenario. Sect. 6 presents related work, and Sect. 7 concludes and gives directions for future research.

## 2. BACKGROUND

In the following we introduce the *ADIT* development process (see Sect. 2.1) and the Common Criteria (see Sect. 2.2).

<sup>1</sup>The CC uses the term *threat agent* for attacker. However, we use attacker as a synonym for threat agent in this work.

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SAC'13 March 18-22, 2013, Coimbra, Portugal.

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## 2.1 The ADIT Process

ADIT (Analysis, Design, Implementation, and Test) is a model-driven, pattern-based development process also making use of components. The process is based entirely upon the UML notation [19] and defines relations between different kinds of models. The ADIT process defines consistency checks between these models including tool support [8]. The support tool is called *UML4PF* and contains a UML profile of the same name. The ADIT process also supports traceability between development phases. For example, the tool support provides several OCL [20] queries that can answer the question, to which requirement a design artifact refers. We focus on the analysis phase in this work and present it in the following.

**A1 - Problem Elicitation and Description** The process begins with a description of the desired functionality of the software to be built, the so-called *machine*. This description is refined into requirements and domain knowledge, which consists of facts and assumptions. We use a *context diagram* and *domain knowledge diagrams* using our UML profile and tool support. This step is based upon Problem Frames [15].

**A2 - Problem Decomposition** The second step decomposes the *context diagram* into *problem diagrams* (also according to [15]). Each problem diagram represents a specific problem that the requirement expresses.

**A3 - Abstract Software Specification** Problem diagrams present the structure of a problem and not its behavior. In this step UML *sequence diagrams* are used to describe the behavior of the machine. These serve also as the basis for our software specification.

**A4 - Technical Context Diagram** This step describes the technical environment of the machine. For example, a web application machine may use the Apache web server. We use again the UML-based notation mentioned in steps A1 and A2. We also use components and their APIs to describe the technical means, e.g., the API of the Apache web server.

**A5 - Operation and Data Specification** The purpose of this step is to set up the necessary internal data structures represented as analysis class diagrams. Furthermore, we specify the operations identified in Step Abstract Software Specification by providing pre- and postconditions for each operation. We use OCL [20] to express these operation specifications.

**A6 - Software Life-Cycle** We use life-cycle expressions proposed in the Fusion method [6] to describe the overall behavior of the machine.

### Problem Frames.

Problem frames (PF)s are a means to describe software development problems. PFs were proposed by Jackson [15], who describes them as follows: "A *problem frame* is a kind of *pattern*. It defines an intuitively identifiable problem class in terms of its context and the characteristics of its domains, interfaces and requirement." We represent problem frames by using UML class diagrams extended by stereotypes as proposed by Hatebur and Heisel [11]. All elements of a problem frame diagram act as placeholders, which must be instantiated to represent concrete problems. In doing so, one obtains a problem description that belongs to a specific kind of problem.

The class with the stereotype *machine* represents the thing to be developed (e.g., the software). The classes with some domain

stereotype, e.g., *CausalDomain* or *BiddableDomain* represent *problem domains* that already exist in the application environment. Domains are connected by interfaces consisting of *shared phenomena*. Shared phenomena may be events, operation calls, messages, and the like. They are observable by at least two domains, but controlled by only one domain, as indicated by an exclamation mark.

Jackson distinguishes the domain types *CausalDomains* that comply with some physical laws, *LexicalDomains* that are data representations, and *BiddableDomains* that are usually people. The stereotype «*causalDomain*» indicates that the corresponding domain is a *CausalDomain*, and the stereotype «*biddableDomain*» indicates that it is a *BiddableDomain*. In our formal meta-model of problem frames [12] *Domains* have *names* and *abbreviations*, which are used to define interfaces. Hence, the class *Domain* has the attributes *name* and *abbreviation* of type string.

## 2.2 The Common Criteria

The ISO/IEC 15408 - Common Criteria for Information Technology Security Evaluation is a security standard that can achieve comparability between the results of independent security evaluations of IT products (machines). These are so-called *Targets of Evaluation (TOEs)*. The Common Criteria are based upon a general security model. The model considers *ToE Owners* that value their *Assets* and wish to minimise *Risk* to these *Assets* via imposing *Countermeasures*. *Attackers* wish to abuse *Assets* and give rise to *Threats* for *Assets*. The *Threats* increase the *Risks* to *Assets*.

Documentation of the security model is the basis for CC certification. The CC security model can be expressed in two different types of documents. The security needs of TOE owners are described in the so-called *Security Target (ST)*. *STs* can be a refinement of a so-called *Protection Profile (PP)*. A *PP* states the security needs for an entire class of *ToEs*, e.g., client VPN application. A *PP* states the security requirements of TOE owners and *ToE* developers or vendors publish their security claims in an *ST*.

The document structure of *ST* and *PP* is the same on the level of chapters. The first chapter is an *Introduction* that contains the description of the ToE and its environment. The chapter *Conformance Claims* describes to which *PPs* the *ST* or *PP* is compliant.

The chapter *Security Problem Definition* refines the external entities, e.g., stakeholders in the environment. In addition, the chapter lists all *Assets*, *Assumptions* about the *ToE* and its environment and, all *Attackers*, the *Threats* they cause to *Assets*, and *Organizational Security Policies* of the *ToE*'s environment. The chapter *Security Objectives* contains the *Security Objectives* for the *ToE* and its *Operational Environment*. An example for an *Operational Environment* is the operating system the *ToE* uses.

A *Security Functional Requirement (SFR)* is a description of a security function (or solution) in the CC. For example, how a stakeholder might be authenticated. The CC provides many generic SFRs in the form of gap texts. The gaps have to be filled for the *ToE* that is described in the *ST/PP*. The chapter *Extended Component Definition* describes *SFRs* that complement the set of *SFRs* that are already present in the CC. Hence, these are specific security functions of the *ToE* that is described in the *ST/PP*. The chapter *Security Functional Requirements (SFR)* presents instantiations (filled gaps) of all relevant *SFRs* from the set that the CC provides. The *Security Assurance Requirements (SAR)* describe the implementation of the *SFRs*. These description is provided for a particular *Evaluation Assurance Level (EAL)*. An *EAL* is a numerical rating ranging from 1 to 7, which states the depth of the evaluation. *EAL 1* is the most basic level and *EAL 7* the most stringent one.

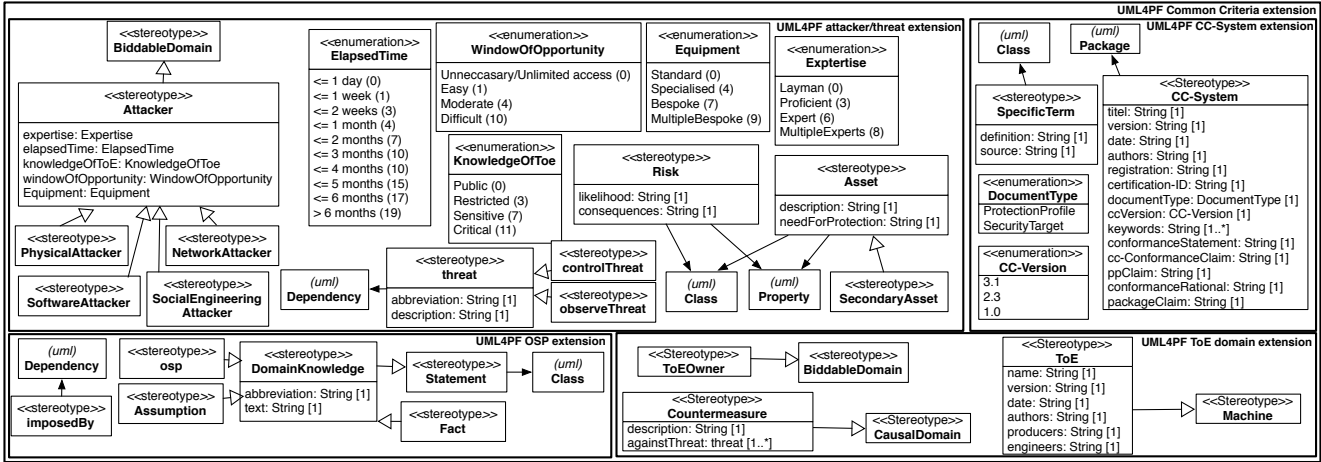


Figure 1: A Common Criteria extension of the UML4PF Profile

### 3. EXTENDING ADIT'S UML PROFILE WITH COMMON CRITERIA SUPPORT

We extend the UML profile and support tool *UML4PF* of the ADIT process (see Sect. 2.1) to support the Common Criteria standard. Fig. 1 depicts the extensions to UML4PF, which contain several sub-extensions.

Firstly, we introduce the *ToE* (see *UML4PF ToE domain extension*), which is derived from the *machine* domain in the Jackson terminology (see Sect. 2.1). Another derived element from Jackson's terminology is the *Countermeasure*, which is a specific kind of *CausalDomain*.

Secondly, we introduce the elements of the CC basic security model (see Sect. 2.2) in the *UML4PF attacker/threat extension*. *Assets* have descriptions and a need for protection. The CC has the concept of *SecondaryAssets*. Harm to *SecondaryAssets* do not cause a loss to the *ToEowner* directly, but the harm can cause harm to an *Asset*. This in turn can cause a loss to a *ToEowner*. *Assets* are subject to *Risks*, which have likelihood and consequences attributes. *Risks* can also be increased by a *Threat*. *Threats* have an abbreviation and a description.

We extended the CC basic security model. *Threats* can be further divided into *controlThreats* and *observeThreats*. *ControlThreats* take control of a domain, while *observeThreats* only observe information about the behavior of a domain. For example, an *observeThreat* is the eavesdropping of confidential information, whereas the manipulation of a key exchange is a *controlThreat*.

The *UML4PF attacker/threat extension* contains also «enumerations» to represent the attributes required to describe an *Attacker* according to the CC. The attributes, e.g., *ElapsedTime* or *WindowOfOpportunity* have also numeric values attached in brackets. These values are defined by the CC and are used to determine the EAL for a system. For example, an attacker with a combined score between 10 and 13 results in a recommendation to implement the security assurance classes *AVA\_VAN.1* and *AVA\_VAN.2*. This recommendation results in an *EAL* requirement of at least *EAL 2*.

We extended the CC basic security model also with an *Attacker* classification. *PhysicalAttackers* threaten the physical elements of the system, e.g., hardware or buildings that host computers. *NetworkAttackers* threaten *Network connections* or *ConnectionDomains* in our models. *SoftwareAttackers* threaten *CausalDomains*, e.g., the *ToE* or another software component. *SocialEngineeringAttackers*

threaten biddable domains, e.g., users of the system.

Thirdly, we collect information that is required for the generation of tables for *ST/PP* documents. The *UML4PF CC-System extension* contains attributes. The instantiations of which can be used to generate tables. These tables are the main parts of the *PP/ST Reference* in the *Introduction* and the *Conformance Claims* chapter. The *UML4PF ToE domain extension* contains the information to generate a *ToE* reference for the *Introduction*. For example, the name and the authors of the *ToE* are required for a *ToE* reference.

The *UML4PF OSP extension* enables the collection of all information for CC compliant *Organizational Security Policies (OSP)*s. The policies are imposed by a *BiddableDomain* in the environment of the *ToE*. *OSP*s are sets of rules, regulations, or guidelines that the environment imposes on the *ToE*. Hence, they are part of the domain knowledge alongside facts and assumptions.

We also added several consistency checks for modeling attackers using our profile. These checks are implemented in OCL [20]. For example, we check that attackers only threaten domains they can reach. The network attacker can only threaten connections, e.g., *WLAN* connections or connection domains.

### 4. EXTENDING ADIT'S PHASES TO SUPPORT THE COMMON CRITERIA

We analyzed the documents resulting from the *ADIT* software development process and the document requirements of the *Common Criteria*. We present the results in Tab. 1. The table lists the chapters and sections of *Security Targets* and *Protection Profiles* in the first two columns. The sections that are only relevant for a *Security Target* are annotated with an "(ST)", the sections only relevant for a *Protection Profile* are annotated with a "(PP)". The following columns state the related ADIT phase and the output document types of this phase. The "-" sign states that the ADIT process does not produce a document that can support the CC. We listed the extensions of the ADIT process that we made for this work in *italics*. These represent the contribution of our work. We introduced specific classes to represent *CC-Systems* and specific CC artifacts, e.g. *organizational security policies*, in the *UML4PF* profile. The *Introduction*, *Conformance Classes* and *Security Problem Definition* chapters of the *ST* and *PP* are *CC-specific*. Hence, these require numerous extensions to *ADIT* as extension to the UML4PF profile and in the form of OCL expressions. The *Security Objectives* chap-

Common Criteria - PP and ST		ADIT	ADIT Artefact Type
Introduction	PP/ST Reference	A1	<i>Context Diagram</i> <i>UMLAPF CC-System extension</i>
	TOE-Reference (ST)	A1	<i>Context Diagram</i> <i>UMLAPF ToE domain extension</i>
	ToE-Overview	A1	<i>Context diagram</i> <i>UMLAPF ToE domain extension</i>
	ToE-Description (ST)	(A2)* (A3)* A4	(Problem Diagram)* (Sequence Diagram)* <i>Technical Context Diagram</i>
		A1, A2 (A3)* A4	<i>Context diagram</i> <i>UMLAPF ToE domain extension</i> Problem Diagram (Sequence Diagram)* <i>Technical Context Diagram</i>
Conformance Claims	CC-Conformance	A1	<i>Context Diagram</i> , <i>UMLAPF CC-System extension</i>
	PP-Conformance or Security-Requirements-Package Explanation for Conformance	A1	<i>Context Diagram</i> , <i>UMLAPF CC-System extension</i>
	Conformance Definition (PP)	A1	<i>Context Diagram</i> , <i>UMLAPF CC-System extension</i>
Security Problem Definition	External Entities	A2	<i>Domain Knowledge Diagram</i> , Problem Diagram
	Assets	(A4)* A2	(Technical Context Diagram)* <i>Domain Knowledge Diagram</i> <i>UMLAPF asset extension</i>
	Assumptions	A2	<i>Domain Knowledge Diagram</i>
	Threats	A2	<i>Domain Knowledge Diagram</i> <i>UMLAPF attacker/threat extension</i>
	Organizational Security Policy (OSP)	A2	<i>Domain Knowledge Diagram</i> <i>UMLAPF OSP extension</i>
Security Objectives	ToE Security Objectives	A2 A2 (PP) A3 (ST)	<i>Domain Knowledge Diagram</i> Problem Diagram Sequence Diagram
	Security Objectives for the Environment	A2 (PP) A3 (ST)	<i>Domain Knowledge Diagram</i> Sequence Diagram
	Objectives Rational (OR)	A2	<i>Domain Knowledge Diagram</i> Problem Diagram Sequence Diagram
Extended Comp. Definition	Security Functional Requirements for the Extended Components (if needed)	-	-
Security Requirements	Security Functional Requirements	A2 A3 A4 (A5)*	Problem Diagram Sequence Diagrams Technical Context Diagram (Operation and Data Specification)*
	Security Assurance Requirements (PP) Security Assurance Requirements (ST)	A Phase A,D,I,T Phases	all previously mentioned diagrams all previously mentioned diagrams

The entries with \* are optional. ST = Security Target (ST) and PP = Protection Profile  
*Italics* represent the contribution of this work. They are the parts of the ADIT process, which have to apply the *UMLAPF Common Criteria extension*.

**Table 1: Mapping ADIT Phases to PP and ST documents of the Common Criteria**

ter of *ST/PP* documents requires enhanced *DomainKnowledgeDiagrams* to represent *Attackers*, *Threats*, and *Assumptions*. In an earlier work we investigated the difference in terminology between the problem frame approach and the CC [9]. One of the findings is that the term *security objective* is similar to the term *security requirement* in the problem frame approach, which is used in the ADIT phases A1, A2, and A4. The *Extended Component Definition* chapter requires an extension of the security functional requirements in the CC.

## 5. APPLICATION

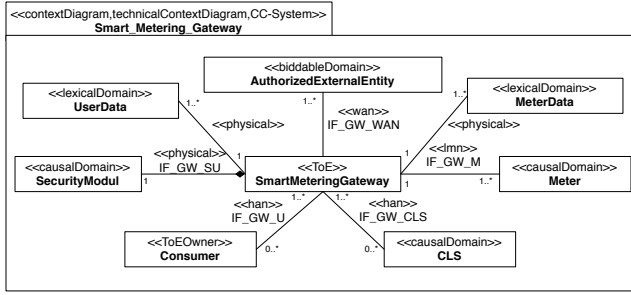
For simplicities' sake, we provide only a short example of relevant ADIT artifacts we use for supporting the establishment of a CC-compliant *ST/PP* document.

We show an example how a *ToE* description can be generated using the *UMLAPF CC-system extension* and a list of assets of the *ToE* and its environment. Hence, we provide example artifacts for a

*ST/PP*: the *ToE-Overview* in the *Introduction* and the *Assets* in the *Security Problem Definition*.

### Running Example.

The *Smart Metering Gateway* is a part of the smart grid. This is a commodity network that intelligently manages the behavior and actions of its participants. The commodity consists of electricity, gas, water, or heat that is distributed via a grid (or network). The benefit of this network is envisioned to be more economic, sustainable and to secure supply of commodities. Smart metering system meters the consumption or production of energy and forwards the data to external entities. This data can be used for billing and steering the energy production. This system description is taken from a *PP* [4]. We used the information in the *PP* to generate our artifacts and to check that our results match the example in the *PP*. Hence, our results can be used to instantiate the resulting model in order to create an *ST*.



**Figure 2: The Context Diagram of the Smart Metering Gateway**

### TOE Overview.

The *ToE overview* contains a description of the machine to be built in its environment. We propose to use the ADIT Phase *A1* for this purpose. This phase relies upon the problem frame approach as introduced in Sect. 2. We provide a *context diagram* and *technical context diagram* to describe the *ToE* (see Fig. 2). The *ToE* is a *SmartMeteringGateway*, which serves as a bridge between the *Wide Area Network (WAN)* and the *Home Area Network (HAN)* of the *ToE owner*. The *ToE owner* is the commodity *Consumer* of the smart grid scenario. The *Meter* is connected to the machine via a *Local Metrological Network (LMN)* and measures the consumption of commodities of the *Consumer*. The *LMN* is an in-house network for equipment that can be used for energy management. The *Controllable Local System (CLS)* is a system that can be controlled using the *SmartMeteringGateway* and is connected to the *SmartMeteringGateway* with the *HAN*. For example, an air conditioning unit or an intelligent fridge. The *Consumer* can also access the *SmartMeteringGateway*. A detailed interface description, e.g., *IF\_GW\_WAN* can be found in [4].

The *ToE Reference* for a *PP* can be generated from the information collected in the *context diagram* and *technical context diagram*. We use an OCL expression to extract the collected information. We present Tab. 2 as an output of the example reference.

Field	Description
<b>Titel</b>	Protection Profile for the Gateway of a Smart Metering System (Gateway PP)
<b>Version</b>	01.01.01 (final draft)
<b>Date</b>	25.08.11
<b>Authors</b>	(left out for privacy reasons)
<b>Registration</b>	Bundesamt für Sicherheit in der Informationstechnik (BSI) Federal Office for Information Security Germany
<b>Certification-ID</b>	BSI-CC-PP-0073
<b>CC-Version</b>	3.1 Revision 3
<b>Keywords</b>	Smart Metering, Protection Profile, Meter, Gateway, PP

**Table 2: Generated PP Reference**

### Assets.

A *ST/PP* document requires a list of assets and their description. In addition, the need for each *Assets* protection needs to be stated and assets have to be derived into *Assets* and *SecondaryAssets*. We instantiate the *Assets* and *SecondaryAssets* in the *UMLAPP attack/threat extension* with the data from [4]. In this example we limit the diagram to three assets, depicted in Fig. 3. The *Smart Metering Gateway* contains eleven assets [4].

Figure 3 presents a domain knowledge diagram. We use compo-

sition associations of UML class diagrams to integrate *Assets* to the *ToE* or its environment, because *Assets* are always part of an existing *domain* that was introduced in the context diagram. *Assets* have the attributes *description*, which describe the asset and a *need for protection*, because they represent a value for the *ToE owner*. The *Assets* that we use in this example are *MeterData*, which are consumption records of commodities that the *Consumer* has used, e.g., electricity. The *MeterConfig* are configuration data of the *Meter*. For example, the time intervals in which *MeterData* is transmitted over the *WAN*. The *GatewayTime* is the date and time of the clock of the *SmartMeteringGateway*.

We can automatically generate a list of *Assets* using OCL on the information contained in the domain knowledge diagram (see Fig. 3). We use the OCL expression presented in 1. This expression selects all classes, which name includes the *String Asset*. The expression further creates a sequences that contains the *name* of the classes and the values of the attributes *description* and *needForProtection*. These values are separated using a “;”.

```

1 Property.allInstances()->select(
2   getAppliedStereotypes().name->includes('Asset'))
3   ->collect(c l
4     let st: Stereotype =
5       c.getAppliedStereotypes()->select(name='Asset')->
6         asSequence()->first() in
7         c.name.oclAsType(String).concat(';')
8           .concat(c.getValue(st,'description'))
9             .oclAsType(String).concat(';')
10            .concat(c.getValue(st,'needForProtection').
11              oclAsType(String))
12  )

```

**Listing 1: Collecting assets and their attributes.**

We generate a table using the information collected by the OCL expression for the *ST/PP* document. The resulting table is shown in Tab. 3.

Asset	Description	Need for Protection
Meter Data	Meter readings that allow calculation of the quantity of a commodity, e.g. electricity, gas, water or heat consumed over a period. Meter Data comprise Consumption or Production Data (billing-relevant) and grid status data (not billing-relevant). While billing data needs to have a relation to the consumer grid status data do not have to be directly related to a consumer.	According to their specific need.
Gateway time (secondary asset)	Date and time of the real-time clock of the Gateway. Gateway Time is used in Meter Data records sent to external entities.	Integrity and Authenticity (when time is adjusted to an external reference time)
Meter config (secondary asset)	Configuration data of the Meter to control its behaviour including the Meter identity.	Integrity and Authenticity and Confidentiality

**Table 3: Table for Assets of the PP/ST**

### Re-using ADITs Traceability and Consistency checks.

For the ADIT development process more than 70 traceability and consistency checks between the different diagrams have been defined [7]. For example, it is checked that each element in a problem diagram has a relation to the context diagram. A possible relation is that an element in the problem diagram is part of an element in the context diagram. Such relations support the refinement and detailed descriptions of security properties. These are essential in order to ensure an adequate protection against threats.

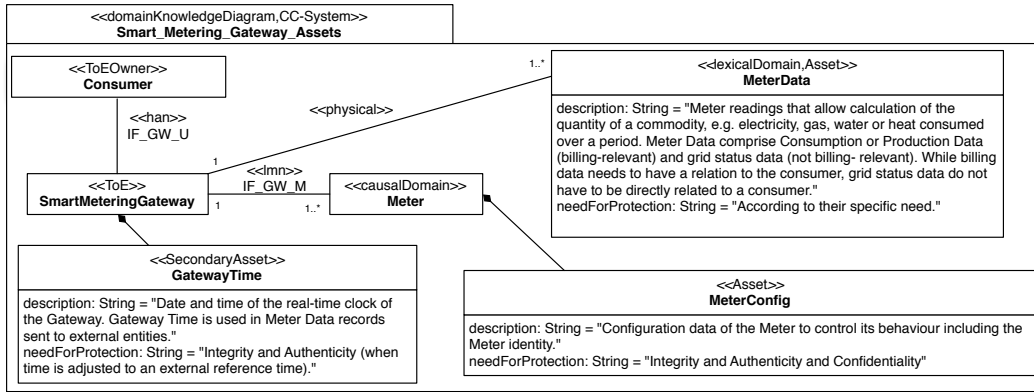


Figure 3: A domain knowledge diagram for asset descriptions

## 6. RELATED WORK

Mellado et al. [16, 17] created the Security Requirements Engineering Process (SREP). SREP is an iterative and incremental security requirements engineering process. In addition, SREP is asset-based, risk driven, and follows the structure of the Common Criteria. The approach uses use cases to model security objectives, and misuse cases to model threats. The authors also developed a template for ranking threats, attacks, and risks. The authors propose a Security Resources Repository (SRR) that can store elicited threats, attacks, and risks. The approach differs from our work in the sense that SREP is a method that supports the security reasoning according to the CC. However, it is not a holistic software development process.

Yin and Qiu [21] model so-called *early-phase* security requirements with an extended *i\** model. The authors also describe security policies using a formal model and so-called *late-stage security requirements* in an extended UML model. The extended *i\** framework adds the modeling elements security flaw that can have a relation to goals and soft goals. These can be influenced by a threat and eliminated by a security goal, e.g., confidentiality. The policies provide three templates for so-called *stream control* that specifies rules for network traffic. For example, allowed IP addresses. The extended UML considers explicitly for each element if it belongs to the ToE, external entities or communication entities. The approach differs from ours, because it focuses on generating CC policies for *stream control*. The approach does not aim at providing a holistic support for the generation of *ST/PP* documents.

Bialas [2] introduces an ontology that supports the CC security problem definition (SPD). The SPD contains threats, security policies, and assumptions concerning the ToE. The ontology provides relations between security related elements, e.g., risks and threats. The relations can be used to create a SPD. For example, the ontology can provide threats for specific risks. In addition, the ontology can be queried for known countermeasures for these risks. The author extended the approach to the IT security development framework that is complaint to the entire CC [3]. The approach differs from ours, because the author focuses on creating just the *SPD* and not a holistic support for generating *ST/PP* documents.

Ardi and Shahmehri [1] extend the CC Security Target document with the knowledge of existing vulnerabilities. In particular the authors add threats from known vulnerabilities to the Security Problem Definition, security objectives from vulnerabilities and information on how to consider these vulnerabilities in the Security Objectives section. The authors use vulnerability cause graphs and

security activity graphs to refine the information from the vulnerabilities. This work can complement our own. We can use the information about existing vulnerabilities in our process as well.

Chang and Fan [5] design an ontology that is intended to decrease the time for CC certification. The ontology supports four different use cases. The first is to query CC content using a hierarchical tree. The second use case considers a markup tool that allows the user to mark specific parts of the CC. These marks can include a choice of predefined comments that can be used to ease the review of CC documents. The third use case considers a CC review tool that can provide a checklist of required documents and for evaluating a specific EAL. The CC review tool also contains information about required documents. This include already written and approved documents and documents that have to be revised. The last use case concerns a review report tool. This tool provides a judgement of the review process using the data from the previous use case. This work can complement our own. We could use their ontology after generating documents with our method.

Rottke et al. [18] present a problem-driven requirements engineering method for CC compliant systems. This high level approach also considers Problem Frames. The method focuses on creating reliable models for context and problem descriptions. This work differs from ours, because we focus on the entire CC process and do not limit our approach to context and problem descriptions.

## 7. CONCLUSION

We have extended the *ADIT* software development process with a UML profile for Common Criteria systems. Thereby, we built on the existing UML4PF tool and its UML profile for dependability [10]. We provide UML elements to model security as described in the Common Criteria. The *ADIT* process relies upon the Problem Frame method for a structured elicitation and analysis of requirements.

Our method offers the following main benefits:

- A structured process for elicitation of the required knowledge for a Common Criteria certification
- Computer-aided generation of tables and figures for each chapter of a Common Criteria *Protection Profile* or *Security Target*
- Support for the reasoning of Common Criteria *Security Objectives* from *ToE* descriptions to Common Criteria *Security Objectives*

- Traceability from elements of the *ToE* description to threats on to Common Criteria *Security Objectives*
- Consistency checks from elements of the *ToE* description to Common Criteria *Security Objectives*
- Explicit consideration of domain knowledge in terms of assumptions and facts
- Re-use of patterns, e.g., problem diagrams for different projects

The work presented here will be extended to support further security standards, e.g., the ISO 27001 standard [13]. We also aim to support the choice of relevant Common Criteria *Security Functional Requirements* for given problem diagrams. In addition, we want to support the decision if the Common Criteria *Security Functional Requirements* have to be extended for a given *ToE*.

## Acknowledgment

This research was partially supported by the EU project Network of Excellence on Engineering Secure Future Internet Software Services and Systems (NESSoS, ICT-2009.1.4 Trustworthy ICT, Grant No. 256980) as well as the Ministry of Innovation, Science, Research and Technology of the German State of North Rhine-Westphalia and EFRE (Grant No. 300266902 and Grant No. 300267002).

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